

unity next®

REGULATORY REPORTING

FOR BANQUE CENTRALE DU LUXEMBOURG (BCL) & COMMISSION DE SURVEILLANCE DU SECTEUR FINANCIER (CSSF) REGULATORY REPORTING

Administrators responsible for statutory statistical reporting in Luxembourg can rely on Confluence to significantly reduce their ongoing BCL and CSSF filing effort. Unity NXT Regulatory Reporting automates the otherwise tedious manual processes required to report to two regulatory bodies and ensures consistency between them. It has the flexibility to quickly update forms as regulatory requirements change, including those requiring amendments to previously filed forms. Because it was designed with collaboration and standardization in mind, it eases administrator challenges stemming from reporting across asset classes, currencies and operational divisions.

▶ FEATURES & BENEFITS



DASHBOARD

Real-time visibility into quality and status of filings



BULK FUNCTIONS

Bulk & administrator-specific functionality for efficiency across multi-fund and multi-client reporting obligations



COMPREHENSIVE ANALYTICS

Automated validations and business rules with exception reporting



FORM MAINTENANCE

Maintenance by Confluence for common regulatory changes to existing forms including post-filing validation checks and changes



CROSS-FORM RECONCILIATION

Agreement and reconciliation across BCL and CSSF forms to ensure data consistency



COMPREHENSIVE WORKFLOW

Efficiency gains through streamlined regulatory process

unity next®



Regulatory Reporting Powered by Synapse® for Data Integration, Aggregation and Reuse

Unity NXT® Regulatory Reporting powered by Synapse® enables clients to solve their post-trade regulatory filing needs through one platform, giving asset managers and asset servicers the answer to constant regulatory change. With a collaborative environment to minimize review challenges and drive efficiency, clients can reduce the cost of existing reporting obligations and nearly eliminate the challenges and complexity of solving new reporting requirements.

FEATURES & BENEFITS



SYNAPSE

Leverage the flexible and powerful data model to allow for data aggregation and reuse across forms and easily accommodate data changes to regulatory requirements.



ONE SYSTEM

Increase efficiency and scalability through one system for all post-trade regulatory filings and reduce time to implement, learn and maintain the system.



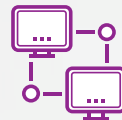
FORM BUILDER

Reduce time and effort to support new or updated regulatory forms with configurable content and form-specific calculations to enable rapid creation and edits.



TRACEABILITY

Decrease time to problem resolution with transparency into entire aggregation and calculation lifecycle for each data point included in a filing.



AUTOMATED NOTIFICATIONS

Ensure accurate regulatory filing process with validations, compliance rules and warnings that both simplify and allow customization to support individual needs.



INTUITIVE INTERFACE

Simplify users' experience with workflow, data audit trails, smart layouts that show only relevant form content and other smart features such as AutoSave.



SAAS SCALABILITY

Support rapid response to regulatory additions and changes and ensure client data is protected with enterprise-grade application and system security.



COLLABORATION

Enhance user and administration accessibility with a centralized filings dashboard, role-based privileges and maker/checker workflow to create team synergies.

BCL and CSSF Forms Solution

Features and Benefits

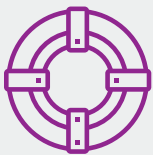
With condensed filing windows and frequent change with little notice, administrators responsible for statutory statistical reporting in Luxembourg are faced with the steep challenge of ensuring their clients meet the numerous monthly, quarterly and annual filings mandated by the BCL and CSSF. Unity NXT[®] Regulatory Reporting automates these demanding filing processes and eases the data management challenge through Synapse[®], providing data integration, aggregation and re-use.

BUILT-IN ANALYTICS

- Exception-based processing checks all data against the complex up-to-date BCL and CSSF validations and business rules and alerts users to issues
- Quicker pattern detection and exception resolution with exception pivoting by category and question
- Confidence in pre-filing preparedness with test filing and schema check functionality

BULK REVIEW AND APPROVALS

- Bulk functions to review, approve or reject content in multiple filings at once reduce time to review and approve filings and support large number of filings to be completed in condensed filing windows
- Role-based access to forms, filings and funds, and to specific role-based functions like view, edit, review and approve/reject ensures that users have only the rights they need
- Automated exception-based workflow provides standard and configurable data quality consistency and checks



SUPPORTED FORMS

Banque Centrale Du Luxembourg (BCL)

S1.3, S1.6, S2.13, S2.14, TBT OBS, TPTTBS

Commission De Surveillance Du Secteur Financier (CSSF)

U1.1





ABOUT CONFLUENCE

As a global leader in data-driven solutions for efficiency and control, **Confluence** solves tough data management and automation challenges for the asset management industry, including performance reporting, regulatory reporting, investor communications and fund expense management.

Confluence solutions enable asset managers and third-party administrators to consolidate and leverage data across business operations which results in lower costs, reduced risk, decreased reporting turnaround times and the scalability to automate more processes without additional resources.

The platform features solutions to support a wide array of fund types — including mutual funds, ETFs, alternative investments, institutional portfolios and UCITS funds.

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