

Meet the AIFMD Transparency Reporting challenge with confidence:

- Confidence in the process
- Confidence in your data
- Confidence in your reports

With over **400 data points** to be collected from a variety of internal and third-party systems, the AIFMD Transparency Report is a complex undertaking for even the most seasoned asset managers

To learn more about Unity NXT AIFMD Transparency Reporting, contact us via phone or email.

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ABOUT UNITY NXT AIFMD TRANSPARENCY REPORTING

The Alternative Investment Fund Managers Directive (AIFMD) affects asset management companies that manage an Alternative Investment Fund (AIF)* domiciled or marketed in the European Economic Area (EEA). One of the key features of the Directive is the AIFMD Transparency Report, which requires Alternative Investment Fund Managers to provide regular, detailed reporting regarding their investment strategy and activities, assets, transactions, risks, and business relationships. With over 400 data points to be collected from a variety of internal and third-party systems, the AIFMD Transparency Report is a complex undertaking for even the most seasoned asset managers.

The Confluence team has been solving challenging data integration and data reporting problems for the alternative investment industry for more than a decade. As the leading provider of Form PF and Form CPO-PQR reporting solutions, we have the experience and the technology to provide a cost-effective response to the complexity of AIFMD Transparency Reporting.

Our technology-enabled Unity NXT AIFMD Transparency Reporting ensures completeness, accuracy and control over the entire AIFMD reporting process. From the collection of data, the calculation of answers, the approval of the report form contents, to the transmission of the filing, we offer a truly collaborative environment for producing the AIFMD Transparency Report, enabling disparate departments to share, complete and review each answer, each section, and the entire filing with a full audit trail.

KEY FEATURES

- **Data Analytics**
All of the required AIFMD Transparency Reports calculations are performed, except for VaR and Sensitivity Analysis.
- **Validation**
The application includes a series of alerts, checks, validations and approval procedures.
- **Flexibility**
The advisor has the ability to make topside adjustments to the answers.
- **Audit Trail**
A record of any changes made, including the original entry, the overriding value, the editor, and the date.
- **Multi-jurisdictional**
The application provides reporting capabilities across Europe.
- **Usability**
System workflow guides users through the filing process, including progress indicators and a status dashboard.

* Any collective investment vehicle that does not require authorization pursuant to the European Union's UCITS directive.

HOW UNITY NXT AIFMD TRANSPARENCY REPORTING WORKS

- **Collect data and perform calculations**

Leveraging a template provided by Confluence, Unity NXT AIFMD Transparency Reporting guides users through the process of identifying and sourcing the data necessary for AIFMD Transparency Reporting. Data loading is managed and automated by Confluence prior to reconciliation and calculations being performed in Unity NXT Transparency Reporting. Automated error checking flags data exceptions for early remediation.

- **Review and approve report content**

The automated workflow within Unity NXT AIFMD Transparency Reporting drives the review and approval process, leveraging pre-defined user profiles to determine responsibilities for validating calculations, requiring that gaps are addressed, and that report content is approved by authorized personnel. E-mail communication and browser-based editing creates seamless integration with existing business processes.

- **File the report with regulators**

Once the AIFMD Transparency Report is complete and approved, it can be formatted to the specific technical and language requirements of the one or more National Competent Authorities to which the fund reports and is then submitted electronically. Output options include standard XML, Microsoft Excel and Adobe® Acrobat PDF document formats.

- **Archive the report**

After it has been filed with the regulator(s), the AIFMD Transparency Report is saved with the underlying source data and calculations to an archive. Each archived report features a full audit trail of changes that occurred during its preparation, including a timestamp, the nature of the changes, the new and previous values, as well as the relevant user(s) involved in the changes.

Relied on by the Top 10 Global Asset Managers

8 of the top 10 global fund administration service providers license Confluence software to support their clients

About Confluence

As a global leader in data-driven solutions for efficiency and control, Confluence solves tough data management and automation challenges for the asset management industry, including performance reporting, regulatory reporting, investor communications and fund expense management. Confluence solutions enable asset managers and third-party administrators to consolidate and leverage data across business operations which results in lower costs, reduced risk, decreased reporting turnaround times and the scalability to automate more processes without additional resources. The platform features solutions to support a wide array of fund types – including mutual funds, ETFs, alternative investments, institutional portfolios and UCITS funds.



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